

O:TIFFANY TURNER COMPANY:1900 UNIVERSITY AVENUE

**UNITED STATES PATENT AND TRADEMARK OFFICE**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

\*500702649A\*

NOVEMBER 13, 2008

PTAS

TIFFANY TURNER  
1900 UNIVERSITY AVENUE  
SUITE 200  
EAST PALO ALTO, CA 94303UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 11/12/2008

REEL/FRAME: 021825/0711  
NUMBER OF PAGES: 2BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: NBMP-003CIP

## ASSIGNOR:

LIN, HAIXIANG

DOC DATE: 10/15/2008

## ASSIGNOR:

LI, LIE TAO VICTOR

DOC DATE: 10/15/2008

## ASSIGNEE:

NEWBIOMED PIKA PTE LTD  
3 PICKERING STREET  
#02-29 NANKIN ROW, CHINA SQUARE  
CENTRAL  
SINGAPORE, CHINA 048660

SERIAL NUMBER: 12160584

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: MUCOSAL IMMUNOGENIC SUBSTANCES COMPRISING A POLYINOSINIC ACID -  
POLYCYTIDILIC ACID BASED ADJUVANT

'O:TIFFANY TURNER COMPANY:1900 UNIVERSITY AVENUE

021825/0711 PAGE 2

ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

O:TIFFANY TURNER COMPANY:1900 UNIVERSITY AVENUE

## PATENT ASSIGNMENT

Electronic Version v1.1  
Stylesheet Version v1.111/12/2008  
500702649

SUBMISSION TYPE:	NEW ASSIGNMENT						
NATURE OF CONVEYANCE:	ASSIGNMENT						
CONVEYING PARTY DATA							
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>Haixiang Lin</td><td>10/15/2008</td></tr><tr><td>Lie Tao Victor Li</td><td>10/15/2008</td></tr></tbody></table>	Name	Execution Date	Haixiang Lin	10/15/2008	Lie Tao Victor Li	10/15/2008	
Name	Execution Date						
Haixiang Lin	10/15/2008						
Lie Tao Victor Li	10/15/2008						
RECEIVING PARTY DATA							
Name:	NewBiomed PIKA Pte Ltd						
Street Address:	3 Pickering Street						
Internal Address:	#02-29 Nankin Row, China Square Central						
City:	Singapore						
State/Country:	CHINA						
Postal Code:	048660						
PROPERTY NUMBERS Total: 1							
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>12160584</td></tr></tbody></table>	Property Type	Number	Application Number:	12160584			
Property Type	Number						
Application Number:	12160584						
CORRESPONDENCE DATA							
Fax Number:	(650)327-3231						
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>							
Phone:	650 327 3400						
Email:	turner@bozpat.com						
Correspondent Name:	Tiffany Turner						
Address Line 1:	1900 University Avenue						
Address Line 2:	Suite 200						
Address Line 4:	East Palo Alto, CALIFORNIA 94303						
ATTORNEY DOCKET NUMBER:	NBMP-003CIP						
NAME OF SUBMITTER:	Carol L. Francis						
Total Attachments: 1 source=signedassignment#page1.tif							

OP \$40.00 12160584



USPTO

TIFFANY TURNER COMPANY: 1900 UNIVERSITY AVENUE



## UNITED STATES PATENT AND TRADEMARK OFFICE

### Facsimile Transmission

To: Name: TIFFANY TURNER  
Company: 1900 UNIVERSITY AVENUE  
Fax Number: 16503273231  
Voice Phone:

From: Name: ASSIGNMENT SERVICES BRANCH  
Voice Phone: 571-272-3350

37 C.F.R. 1.6 sets forth the types of correspondence that can be communicated to the Patent and Trademark Office via facsimile transmissions. Applicants are advised to use the certificate of facsimile transmission procedures when submitting a reply to a non-final or final Office action by facsimile (37 CFR 1.8(a)).

#### Fax Notes:

Pg#	Description
1	Cover Page
2	178.TXT
4	Document 1, Batch 1470797

**RECEIVED**

NOV 14 2008

Bozicevic, Field, &amp; Francis

USPTO ASSIGNMENT SYSTEM PROCESSING

Date and time of transmission: Friday, November 14, 2008 9:28:08 AM  
Number of pages including this cover sheet: 04

ORIGINAL to: Rgb  
COPIES to: CEF/TOT

## EXHIBIT D

### ASSIGNMENT OF APPLICATION (JOINT)

Atty Docket No. NBMP-003CIP

THIS ASSIGNMENT, by Haixiang Lin, Lie Tao Victor Li (hereinafter referred to as the assignors), residing in Beijing, Republic of China and Maxwell House, Singapore, respectively, witnesseth:

WHEREAS, the said assignors have invented certain new and useful improvements in:

**"MUCOSAL IMMUNOGENIC SUBSTANCES COMPRISING A POLYINOSINIC ACID -  
POLYCYTIDILIC ACID BASED ADJUVANT"**

X filed on June 27, 2006 as U.S. Application Serial No. PCT/SG2006/000177 designating the United States.

WHEREAS, NEWBIOMED PIKA PTE LTD a duly organized under and pursuant to the laws of Republic of Singapore, and having its principal place of business at **3 Pickering Street, #02-29 Nankin Row, China Square Central, Singapore 048660** (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behalf and the use and behalf of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said invention and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the sole use and behalf of said assignee, its successors, legal representatives and assigns.

Date 10-15-08

Name of Inventor

  
HAIXIANG LIN

Date 10-15-08

Name of Inventor

  
LIE TAO VICTOR LI